# **Constraints and Automata**

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#### Reminder: NFA (non-deterministic finite automaton)

- A NFA is defined by:
  - A finite set of states Q
  - An **alphabet**  $\Sigma$  (set of input symbols)
  - A transition function  $\Delta$ : Q x  $\Sigma \rightarrow$  power set of Q
  - − An **initial state**  $q_{\text{init}} \in Q$
  - A set of accepting states  $F \subseteq Q$

#### Reminder: NFA (non-deterministic finite automaton)

Given a word w = w<sub>1</sub> w<sub>2</sub> ... w<sub>n</sub> over the alphabet Σ an and NFA ω
 w is accepted by ω

iff 
$$\exists q_0 q_1 \dots q_n$$
 in Q such:there is a sequence of states $-q_0 = q_{init}$ starting at  $q_{init}$  $-q_{i+1} = \Delta(q_i, w_{i+1})$  with  $i \in [0, n-1]$ compatible with the transition function $-q_n \in F$ ending in an accepting state

#### Reminder: DFA (deterministic finite automaton)

- A DFA is defined by:
  - A finite set of states Q
  - An **alphabet**  $\Sigma$  (set of input symbols)
  - A transition function  $\delta: Q \times \Sigma \rightarrow Q$
  - − An initial state  $q_{\text{init}} \in Q$
  - A set of accepting states  $F \subseteq Q$

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### The initial general idea

• Use finite automata as a general way for describing constraints

based on the one to one correspondence between

solutions of a constraint

and

words accepted by a finite automaton

Implicit assumption: both the automaton and the constraint are use a sequence of same length

# The main difference

 Use finite automata as a general way for describing constraints based on a one to one correspondence between solutions of a constraint and words accepted by a finite automaton

• Go

**from** checking whether a **sequence of fixed letters** is accepted by an automaton or not

to checking whether a sequence of variables has at least one assignment accepted by an automaton or not

 Deal with finite sequences since post constraints on finite sequences of variables

You may say that everything is easy with finite sequences

• In the context of Constraint Programming

**no need for determinizing** a non-deterministic automaton

may lead to use smaller automata

 Modelling constraints with automata is independent from the solving technology

• Can use different solving techniques like CP, LP, LS

but even more important

 $\Rightarrow$ 

 Can develop concept/theory which will be useful for more than one technology (e.g. see later one glue matrix)

- Using automata has a **compositional** flavor since:
  - conjunction of constraints : product of automata
  - **disjunction** of constraints : **union** of automata
  - negation of constraint : complement of automata
  - reification of constraint : combination of automata

# Warning: limitations

- Expressivity limitation
  - Restrict ourselves to constraints that can be checked by scanning once through their variables (*e.g. no DFS*),
  - The size of the automaton has to be **bounded** by a polynomial of the number of variables (*e.g. not applicable for alldifferent*)
- Operational limitation
  - For some constraints for which there exists a specialized algorithm achieving GAC we don't achieve GAC

# Map (precursors)

- Constraint networks
  - N. R. Vempaty [AAAI-92]

Solving constraint satisfaction problems using finite automata

- J. Amilhastre [PhD-99, Montpellier] Représentation par automate d'ensemble de solutions de problèmes de satisfaction de contraintes (in the context of configuration)
- Arithmetic constraints
  - B. Boigelot, P. Wolper [ICLP-02]

Representing arithmetic constraints with finite automata: an overview

# Map (early work)

- Global constraints
  - G. Pesant [workshop CP-03] [CP-04]
     A regular language membership constraint for finite sequences of variables (regular constraint)
  - M. Carlsson, N. Beldiceanu

Revisiting the Lexicographic Ordering Constraint [TechReport-02] From constraints to finite automata to filtering algorithms [ESOP-04]

Deriving Filtering Algorithms from Constraint Checkers [CP-04] (*automaton with accumulators* constraint)

# Map (follow up)

- Global constraints with cost
  - S. Demassey, G. Pesant, L.-M. Rousseau [CPAIOR-05]
     Constraint Programming Based Column Generation for Employee Timetable (cost-regular constraint, one single criteria)

- J. Menana, S. Demassey [CPAIOR-09]

Sequencing and Counting with the *multicost-regular* Constraint (more than one criteria)

### Map (follow up)

- Reformulation to Linear Programming
  - M.-C. Côté, B. Gendron, L.-M. Rousseau [CPAIOR-07]
     Modeling the Regular Constraint with Integer Programming (regular constraint)
  - E. Arafailova, N. Beldiceanu, R. Douence, P. Flener,
     M. A. F. Rodriguez, J. Pearson, H. Simonis [CPAIOR-16]
     Time-Series Constraints: Improvements and Application in CP
     and MIP Contexts (automaton with accumulators constraint)

### Advice for creating an automaton

- Automata without accumulator
  - Steps for creating an automaton
  - Examples
- Automata with accumulators (see later on with the help of transducers)

### Steps for creating an automaton

- Identify the input alphabet (most the time easy, but sometimes tricky)
- Identify all states
  - Find the meaningful points wrt what we want to modelize (the most difficult part)
  - Have a systematic method for generating all states
- Add transitions

(easy if all states were identified correctly)

But don't try to define an automaton **before having a clear view of all its states** 

### Exercise 1 (odd numbers)

Construct and automaton that only accepts **binary odd numbers** (e.g. 1, 001, 101)

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Construct and automaton that only accepts **binary odd numbers** (e.g. 1, 001, 101)

Input alphabet {0,1}

Observationa binary odd number finishes with a 1,<br/>consequently remember last letter.

Set of states $s_0$ : if last letter was a 0 (*initial, non accepting*) $s_1$ : if last letter was a 1 (accepting)

# Exercise 2 (getting the states)

Construct an automaton that only accepts binary numbers that have an **even number of 0** and an **even number of 1** (e.g. 11, 0110, 00).

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Input alphabet{0,1}Observationneed to remember if we encountered<br/>an even/odd number of 0/1,<br/>so need two counters:

- one to 0 if even number of 0, to 1 otherwise
- one to 0 if even number of 1, to 1 otherwise make the cartesian product of the values of these two counters

Set of states $s_{00}$ : even number of 0, even number of 1 $s_{01}$ : even number of 0, oddnumber of 1 $s_{10}$ : oddnumber of 0, even number of 1 $s_{10}$ : oddnumber of 0, even number of 1 $s_{11}$ : oddnumber of 0, odd

#### Exercise 3 (lets count)

Construct an automaton that only accepts binary numbers with **at most two consecutive 1** (e.g. 00, 0110001011).

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Construct an automaton that only accepts binary numbers with **at most two consecutive 1** (e.g. 00, 0110001011).

Input alphabet {0,1}

Observationsince at most two consecutive 1 we have<br/>to count number of consecutive 1.<br/>since cannot exceed two consecutive 1,<br/>count only up to 2

Set of states

s<sub>0</sub>: the last suffix is 0
s<sub>1</sub>: the last suffix is 01
s<sub>2</sub>: the last suffix is 011

#### Exercise 4 (knowing where to go back)

Construct an automaton that only accepts words of the form **a (bb)\* bc**.

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Construct an automaton that only accepts words of the form **a (bb)\* bc**.

Input alphabet {a,b,c}

Observationenumerate the different prefixes of the<br/>word to recognize (except the word itself)

**Set of states** 

 $\mathbf{s}_{\mathbf{\epsilon}}$  : Recognize  $\mathbf{\epsilon}$ 

- \$ sa(bb)\* : Recognize a followed by an even
  number of b
- Sa(bb)\*b : Recognize a followed by an odd
   number of b

# Exercise 5 (limiting back-arcs)

Construct an automaton that only accepts words **finishing** with 1101.

# Exercise 5 (no back-arcs)

Construct an automaton that only accepts words **finishing** with 1101.

Input alphabet {0,1}

**Observation** 

enumerate the different prefixes of the suffix to recognize (except the suffix itself) **use non-determinism to limit back-arcs** 

Set of states

s<sub>ε</sub> : Recognize ε
s<sub>1</sub> : Recognize 1
s<sub>11</sub> : Recognize 11
s<sub>110</sub>: Recognize 110

# Exercise 6 (representing a function)

Construct an automaton that only accepts words  $x_1 x_2 \dots x_n$  (n>1) such that  $x_n = \min(x_1, x_2, \dots, x_{n-1})$ , assuming  $x_i \in [1, 4]$ .

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Input alphabet {1,2,3,4}

**Observation**for each potential value of  $min(x_1, x_2, ..., x_i)$  $(i \in [1, n-1])$  we have a state + one accepting<br/>state; use **non-determinism** to get the result

Set of states

**s**<sub>1</sub> : the value of min $(x_1, x_2, \dots, x_i)$  is 1

- $s_2$ : the value of min $(x_1, x_2, \dots, x_i)$  is 2
- **s**<sub>3</sub> : the value of min $(x_1, x_2, \dots, x_i)$  is 3
- $s_4$ : the value of min $(x_1, x_2, \dots, x_i)$  is 4
- t : the only accepting state

### Exercise 6 (representing a function)

Construct an automaton that only accepts words  $x_1 x_2 \dots x_n$  (n > 1) such that  $x_n = \min(x_1, x_2, \dots, x_{n-1})$ , assuming  $x_i \in [1, 4]$ .



Can use the same construction for any function

# Exercise 7 (lexicographic ordering)

Construct an automaton for the constraint lex\_lesseq(VECTOR<sub>1</sub>,VECTOR<sub>2</sub>)

(VECTOR<sub>1</sub>, VECTOR<sub>2</sub> are two lists of variables of same length such that  $VECTOR_1$  is lexicographically less than or equal to  $VECTOR_2$ .



#### Exercise 7 (lexicographic ordering)



 $(\texttt{VAR1}_i < \texttt{VAR2}_i \Leftrightarrow S_i = 1) \land (\texttt{VAR1}_i = \texttt{VAR2}_i \Leftrightarrow S_i = 2) \land (\texttt{VAR1}_i > \texttt{VAR2}_i \Leftrightarrow S_i = 3)$ 

	$\langle x_1, x_2, x_3, x_4  angle \in [0, 1]$ (no symmetry breaking) 16 solutions	$egin{aligned} &\langle x_1,x_2,x_3,x_4 angle\in [0,1]\ &x_1\leq x_4\ &16-rac{16}{4}=12  ext{ solutions} \end{aligned}$	$\langle x_1, x_2, x_3, x_4 \rangle \in [0, 1]$ LEX_LESSEQ $(\langle x_1, x_2 \rangle, \langle x_4, x_3 \rangle)$ $16 - \frac{16-4}{2} = 10$ solutions
	$\langle 0,0,0,0 \rangle$	< <mark>0</mark> ,0,0, <mark>0</mark> >	< <mark>0,0</mark> , 0,0 >
	$\langle 0,0,0,1  angle$	$\langle 0, 0, 0, 1 \rangle$	< <mark>0,0</mark> ,0,1
Lexicographic	$\langle 0,0,1,0 angle$	$\langle 0, 0, 1, 0 \rangle$	< <mark>0,0</mark> , 1,0 >
	$\langle 0,0,1,1 angle$	$\langle 0, 0, 1, 1 \rangle$	< 0,0 , 1,1 >
ordering example	$\langle 0, 1, 0, 0 \rangle$	<pre>( 0 , 1, 0, 0 )</pre>	
brooking owners of	$\langle 0, 1, 0, 1 \rangle$	$\langle 0, 1, 0, 1 \rangle$	< 0,1 , 0,1 >
breaking symmet	$\langle 0, 1, 1, 0 \rangle$	$\langle 0, 1, 1, 0 \rangle$	< 0,1 , 1,0 >
for a reversible	$\langle 0,1,1,1 angle$	$\langle 0, 1, 1, 1 \rangle$	< 0,1 , 1,1 >
	$\langle 1,0,0,0 angle$		
sequence	$\langle 1,0,0,1  angle$	$\langle 1, 0, 0, 1 \rangle$	< 1,0 , 0,1 >
	$\langle 1,0,1,0 angle$		
	$\langle 1,0,1,1 angle$	$\langle 1, 0, 1, 1 \rangle$	< 1,0 , 1,1 >
	$\langle 1, 1, 0, 0 \rangle$		
	$\langle 1,1,0,1 angle$	$\langle 1, 1, 0, 1 \rangle$	
	$\langle 1,1,1,0 angle$		
	$\langle 1, 1, 1, 1 \rangle$	$\langle 1, 1, 1, 1 \rangle$	< 1,1 , 1,1 >

# Exercise 8 (value ordering)

INT\_VALUE\_PRECEDE\_CHAIN(VALUES, VARIABLES)

VALUES : collection(var-int) VARIABLES : collection(var-dvar)

Assuming n denotes the number of items of the VALUES collection, the following condition holds for every  $i \in [1, n - 1]$ : When it is defined, the first occurrence of the  $(i + 1)^{th}$  value of the VALUES collection should be preceded by the first occurrence of the  $i^{th}$  value of the VALUES collection.

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INT\_VALUE\_PRECEDE\_CHAIN( [1,2,3,4,5,6,7,8,9], [1,2,1,2,3,4,5,6,7,8,9])


### Exercise 8 (value ordering)

STATE *s*<sub>*i*</sub> :

- each value *val*<sub>1</sub>,*val*<sub>2</sub>,...,*val*<sub>i</sub> was already encountered at least once
- value *val<sub>i+1</sub>* was not yet encountered



## Constructing an automaton (*enumerating the states*)

### Quite often one can obtain the states by making the cartesian product of several accumulator values

You get a polynomial or pseudo-polynomial number of states, not very useful in practice, but:

- Can be used to show that GAC can be achieved in polynomial time ...
- Can be used to test the effect of having a GAC filtering algorithm without implementing a dedicated filtering algorithm

# States as the cartesian product of accumulators : example 1

change(NCHANGE,  $[V_1, V_2, ..., V_n]$ ) NCHANGE is the number of times that  $V_i \neq V_{i+1}$  holds

Enumerating the states of the automaton of the change constraint:

Use two accumulators:

- i: last value that was encountered
- j: number of already encountered constraints that hold of the form V<sub>i</sub>≠V<sub>i+1</sub>

- i: last value that was encountered
- j: number of already encountered constraints that hold of the form V<sub>i</sub>≠V<sub>i+1</sub>



Other examples of constraints for which the states is the cartesian product of several accumulators

increasing\_nvalue (increasing + nvalue)
 (number of distinct values already encountered,
 last encountered value)

stretch\_path (restrict the min/max length of maximum sequences of identical values) (value of a strech, occurrence in the stretch)

# Context underlying the creation of automata constraints

#### Application

(expressing regulation rules for time table)

- Automata with accumulator as a programming language (writing compact checkers)
- Automata with cost matrix (optimisation)
- Theory

(go along the **Chomsky hierarchy**: from regular to grammar)

#### Availability of automata constraints

• regular:

most CP systems (e.g. CHOCO, gecode, SICStus, MiniZinc)

- cost regular, multi cost regular: CHOCO
- automata with accumulators: SICStus, SWI (with the same syntax)

and all of them can be reformulated in linear programming

but have to write a program in a specific language for generating the automaton

# Context underlying the creation of automata constraints (our focus)

#### Application

(expressing regulation rules for time table)

- Automata with accumulator as a programming language (writing compact checkers)
- Automata with cost matrix (optimisation)
- Theory (go along the Chomsky hierarchy: from regular to grammar)

#### Context

- Providing efficient filtering algorithms is challenging since:
  - There are a lot of global constraints
  - Filtering algorithms are far from obvious
  - Easy to introduce errors or to forget cases
- Want to systematically derive correct filtering algorithms from first principle avoiding creativity

As a first principle select a **constraint checker for the ground case** 

#### Automata with accumulators

- A model of automaton (with accumulators) for writing compact constraint checkers
- A **reformulation** of an automaton as a conjunction of signature and transition constraints
- A partial characterization of conditions for obtaining generalized arc-consistency for such constraint

#### Automata with accumulators

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### Example of constraint checker

 Check is achieved by scanning once through the variables without using any data structure



#### Example of constraint checker (continued)

```
inflexion(ninf,vars[0..n-1]):BOOLEAN;
01 BEGIN
     i=0; c=0;
02
03
     WHILE i<n-1 AND vars[i]=vars[i+1] DO i++;
     IF i<n-1 THEN less=(vars[i]<vars[i+1]);</pre>
04
05
     WHILE i < n-1 DO
06
       IF less THEN
         IF vars[i]>vars[i+1] THEN c++; less=FALSE;
07
80
       ELSE
         IF vars[i]<vars[i+1] THEN c++; less=TRUE;</pre>
09
10
       i++;
11
     RETURN (ninf=c);
12 END.
```

#### Constraint checker

- Use a deterministic automaton where all states are accepting
  - use an accumulator for counting number of inflexions (updated while triggering certain transitions)
  - final value of accumulator is returned (green box)



### Transitions

• **Transitions** are labelled by a value in  $[val_1, val_2, ..., val_p]$ ,

where each value corresponds to a condition between a subset of variables of the original constraint:

- *P*<sub>0</sub>,*P*<sub>1</sub>,...,*P*<sub>m</sub> are these subsets (*signature arguments*)
- to the i-th subset corresponds the signature variable  $S_i$
- the link between s<sub>i</sub> and the variables of P<sub>i</sub> is done according to p mutually incompatible conditions:

$$C_{1}(\mathsf{P}_{i}) \Leftrightarrow s_{i} = val_{1}$$
$$C_{2}(\mathsf{P}_{i}) \Leftrightarrow s_{i} = val_{2}$$
$$\dots$$
$$C_{p}(\mathsf{P}_{i}) \Leftrightarrow s_{i} = val_{p}$$

This **conjunction** is called the **signature constraint** and is denoted  $\Psi(P_i, s_i)$ .

### Example (transitions of inflexion)

inflexion(y, [x<sub>0</sub>,x<sub>1</sub>,x<sub>2</sub>,x<sub>3</sub>])  $P_0 = \langle x_0, x_1 \rangle P_1 = \langle x_1, x_2 \rangle P_2 = \langle x_2, x_3 \rangle$ 

$$\Psi(s_i, x_i, x_{i+1}): (x_i > x_{i+1} \Leftrightarrow s_i = 0) \land (x_i = x_{i+1} \Leftrightarrow s_i = 1) \land (x_i < x_{i+1} \Leftrightarrow s_i = 2)$$



#### Example of description of an automaton

 $\begin{array}{ll} \text{inflexion}(y, [x_0, x_1, \dots, x_{n-1}]) & \mathsf{P}_0 = \langle x_0, x_1 \rangle & \mathsf{P}_1 = \langle x_1, x_2 \rangle & \mathsf{P}_2 = \langle x_2, x_3 \rangle \\ \Psi(s_i, x_i, x_{i+1}) : & (x_i > x_{i+1} \Leftrightarrow s_i = \mathbf{0}) \land (x_i = x_{i+1} \Leftrightarrow s_i = \mathbf{1}) \land (x_i < x_{i+1} \Leftrightarrow s_i = \mathbf{2}) \end{array}$ 

(1) Signature variables

 $s_0, s_1, ..., s_{n-2}$ 

[0,2]

- (2) Signature domain
- (3) Signature argument
- (4) Counter(s)

(5) States

(6) Transitions

*R* (initial value = 0)

 $\langle x_0, x_1 \rangle$ ,  $\langle x_1, x_2 \rangle$ , ...,  $\langle x_{n-2}, x_{n-1} \rangle$ 

- s, r, t (all accepting), initial state: s

(7) **Return constraint** y = R

#### Running an automaton on a ground instance

For inflexion(4, [3,3,1,4,5,5,6,5,5,6,3]) we get:  

$$s, R=0 \quad \{3 = 3 \Leftrightarrow s_0 = 1\} \longrightarrow s$$
  
 $\longrightarrow \{3 > 1 \Leftrightarrow s_1 = 0\} \longrightarrow t$   
 $\implies \{1 < 4 \Leftrightarrow s_2 = 2\} \longrightarrow r, R=1$   
 $\implies \{4 < 5 \Leftrightarrow s_3 = 2\} \longrightarrow r$   
 $\implies \{5 < 6 \Leftrightarrow s_5 = 2\} \longrightarrow r$   
 $\implies \{5 < 6 \Leftrightarrow s_6 = 0\} \longrightarrow t, R=2$   
 $\implies \{5 < 6 \Leftrightarrow s_8 = 2\} \longrightarrow r, R=3$   
 $\implies \{6 > 3 \Leftrightarrow s_9 = 0\} \longrightarrow t, R=4$   
 $return 4$ 

#### From automata to filtering algorithms

Simulate all potentials executions of an automaton according to the current domain of the variables in order to deduce infeasible assignments

> How do we achieve this ? First solution : By reformulating this as a conjunction of signature and transition constraints

#### Reformulation

Conjunction of **signature** and **transition** constraints :



where

- $q_0$  is the **initial** state,
- $q_{m-1}$  is an **accepting** state,
- $K_i$  is a vector containing the **counters** ( $K_0$  is the vector of initial counters value)

#### Encoding transitions constraints for inflexion

$\Phi(q_0, s_0, q_1, R_0, R_1)$								
( q <sub>0</sub> = <b>0</b>	۸	s <sub>0</sub> = <b>0</b>	٨	q <sub>1</sub> = <b>2</b>	٨	$R_1 = R_0$	) v	STATES S.O
( q <sub>0</sub> = <b>0</b>	۸	s <sub>0</sub> = 1	٨	q <sub>1</sub> = <b>0</b>	٨	$R_1 = R_0$	) v	r:1
( q <sub>0</sub> = <b>0</b>	۸	s <sub>0</sub> = 2	٨	q <sub>1</sub> = <b>1</b>	٨	$R_1 = R_0$	) v	t:2
( q <sub>0</sub> = 1	۸	s <sub>0</sub> = <b>0</b>	٨	q <sub>1</sub> = <b>2</b>	٨	$R_1 = R_0$	+1) v	INPUT LETTERS
( q <sub>0</sub> = 1	۸	s <sub>0</sub> = 1	٨	q <sub>1</sub> = <b>1</b>	٨	$R_1 = R_0$	) v	>: 0
( q <sub>0</sub> = 1	۸	s <sub>0</sub> = 2	٨	q <sub>1</sub> = <b>1</b>	٨	$R_1 = R_0$	) v	=: 1 <: 2
( q <sub>0</sub> = 2	۸	s <sub>0</sub> = <b>0</b>	٨	q <sub>1</sub> = <b>2</b>	٨	$R_1 = R_0$	) v	
( q <sub>0</sub> = 2	۸	s <sub>0</sub> = 1	٨	q <sub>1</sub> = <b>2</b>	٨	$R_1 = R_0$	) v	
( q <sub>0</sub> = 2	٨	s <sub>0</sub> = 2	٨	q <sub>1</sub> = 1	٨	$R_1 = R_0$	+1)	$\{R \leftarrow 0\} \longrightarrow = s$
can use a <b>table</b> constraint (or logical constraints) $\leq r \leq r$								

## Hypergraph of the reformulation of the inflexion constraint



#### Berge acyclic hypergraph (constraint network)

An hypergraph is **Berge acyclic** if and only if:

- 1. No more than one shared variable between any pair of constraints.
- 2. The hypergraph does not contain any cycle.

#### A cycle of length k (k>2) is a sequence

$$(x_1, E_1, x_2, E_2, x_3, \dots, E_k, x_1)$$
 such:

- 1.  $E_1, E_2, \ldots, E_k$  are distinct edges of the hypergraph,
- 2.  $x_1, x_2, \ldots, x_k$  are distinct vertices of the hypergraph,
- 3.  $x_i, x_{i+1}$  belongs to  $E_i$  (*i* = 1,2,...,*k*-1),
- 4.  $x_k$ ,  $x_1$  belongs to  $E_k$ .

#### Intersection graph

Can also check that no cycle in an hypergraph by checking that no cycle in the corresponding intersection graph.

The **intersection graph** is defined as:

- . to each constraint corresponds a vertex
- . to each pair of constraints sharing at least one variable corresponds an edge





Yes since:

No more than one variable in common in (A) No cycle in the intersection graph (E)





No since:

The CTR<sub>3</sub> and CTR<sub>4</sub> have two variables in common in (C)



#### Yes since:

No more than one variable in common in (D) Even if the intersection graph contains a cycle (see H), the hypergraph (see D) does not contain any cycle.

### Consistency

- Property : if the constraint hypergraph associated with the reformulation is Berge-acyclic and if we have GAC on each constraint then the full network is GAC [Jansen, Vilarem 88].
- Observation 1 : the table constraint achieves GAC.
- Observation 2 : when no counter is used the transition constraint is encoded with one single compact table constraint.
  - **RESULT** If the automaton does not use any accumulator and no intersection between the signature arguments then the constraint hypergraph of the reformulation is Berge-acyclic.

If the constraint hypergraph is Berge-acyclic and the signature constraint achieves GAC then the **reformulation achieves GAC**.

# Algorithmic approach when no accumulator (Pesant)

- Initialisation: unfold the automaton wrt a concrete sequence of variables and there respective domains into a DAG:
  - Each layer is the set of states of the automaton
  - Each arc represents:
    - a transition from one state to the next state
    - a value in the domain of a variables
- Forward phase: mark all vertices that can be reached from the initial state
- **Backward phase**: mark all vertices that can be reached from at least one of the final states (*by reversing the arcs*).
- Filtering phase: remove all arcs from *u* to *v* such that
  - *u* not reachable from an initial state **or**
  - v cannot reach at least one of the accepting states.

# Reformulation versus dedicated algorithm (automaton + accumulators)

- Dedicated algorithm
  - Unfold the automaton wrt a sequence: huge graph when domains are not sparse (*memory is a problem*)
  - + For extensions of regular can record specific information to do better deductions (*without getting the problem of loosing information because projecting information onto the domain of variables*)

# Reformulation versus dedicated algorithm (automaton + accumulators)

- Reformulation
  - For automata with accumulators may perform poorly when necessary conditions are not added (*the disjunction coming from the choice of transitions may hinder propagation*)
  - + Use less memory since do not unfold the automata (*note that the table encoding the transition constraint is the same for all transition constraints and note that the reformulation introduces only a linear number of extra variables*)
  - + The reformulation introduces variables (*i.e. states variables*) that can be useful for expressing additional constraints like accessibility constraints (*e.g. is a given state accessible ?*).

#### Automata in the global constraint catalog (without accumulator)

- AND,
- ARITH,
- ARITH\_OR,
- BETWEEN\_MIN\_MAX,
- CLAUSE\_AND,
- CLAUSE\_OR,
- COND\_LEX\_COST,
- CONSECUTIVE\_GROUPS\_OF\_ONES,
- DECREASING,
- DOMAIN\_CONSTRAINT,
- ELEM,
- ELEM\_FROM\_TO,
- ELEMENT,
- ELEMENT\_GREATEREQ,
- ELEMENT\_LESSEQ,
- ELEMENT\_MATRIX,

- ELEMENT\_SPARSE,
- ELEMENTN,
- EQUIVALENT,
- GLOBAL\_CONTIGUITY,
- IMPLY,
- IN,
- IN\_INTERVAL,
- IN\_SAME\_PARTITION,
- INCREASING,
- INCREASING\_GLOBAL\_CARDINALITY,
- INCREASING\_NVALUE,
- INT\_VALUE\_PRECEDE,
- INT\_VALUE\_PRECEDE\_CHAIN,
- LESSEQ\_IF\_OCCURS,
- LEX\_BETWEEN,
- LEX\_DIFFERENT,
- LEX\_EQUAL,
- LEX\_GREATER,
- LEX\_GREATEREQ,
- LEX\_LESS,
- LEX\_LESSEQ,
- MAXIMUM,
- MINIMUM,

- MINIMUM\_EXCEPT\_0,
- MINIMUM\_GREATER\_THAN,
- NAND,
- NEXT\_ELEMENT,
- NO\_PEAK,
- NO\_VALLEY,
- NOR,
- NOT\_ALL\_EQUAL,
- NOT\_IN,
- OPEN\_MAXIMUM,
- OPEN\_MINIMUM,
- OR,
- PATTERN,
- SEQUENCE\_FOLDING,
- STAGE\_ELEMENT,
- STRETCH\_PATH,
- STRETCH\_PATH\_PARTITION,
- STRICTLY\_DECREASING,
- STRICTLY\_INCREASING,
- TWO\_ORTH\_ARE\_IN\_CONTACT,
- TWO\_ORTH\_DO\_NOT\_OVERLAP,
- XOR.

#### Automata in the global constraint catalog (with accumulator)

- ALL\_EQUAL\_EXCEPT\_0.
- ALL\_EOUAL\_PEAK.
- ALL\_EQUAL\_PEAK\_MAX,
- ALL\_EQUAL\_VALLEY,
- CHANGE\_CONTINUITY.
- ALL-EQUAL-VALLEY-MIN, CHANGE-PAIR,
- AMONG,
- AMONG\_DIFF\_0.
- AMONG\_INTERVAL,
- AMONG\_LOW\_UP,
- AMONG\_MODULO,
- ARITH\_SLIDING,
- ATLEAST,
- ATMOST,
- BIG\_PEAK,
- BIG\_VALLEY,
- CHANGE,

- CHANGE\_VECTORS,
- CIRCULAR\_CHANGE.
- COUNT.
- COUNTS.
- CYCLIC\_CHANGE.
- CYCLIC\_CHANGE\_JOKER,
- DECREASING\_PEAK,
- DECREASING\_VALLEY.
- DEEPEST\_VALLEY,
- DISTANCE\_CHANGE,
- EQUILIBRIUM,

- EXACTLY,
- FIRST\_VALUE\_DIFF\_0,
- FULL\_GROUP,
- GROUP,
- GROUP\_SKIP\_ISOLATED\_ITEM,
- HIGHEST\_PEAK,
- INCREASING\_PEAK,
- INCREASING\_VALLEY,
- INFLEXION,
- DIFFER\_FROM\_AT\_LEAST\_K\_POS, ITH\_POS\_DIFFERENT\_FROM\_0.
  - LENGTH\_FIRST\_SEQUENCE,
  - LENGTH\_LAST\_SEQUENCE.
  - LONGEST\_CHANGE,
  - LONGEST\_DECREASING\_SEQUENCE, PEAK.
  - LONGEST\_INCREASING\_SEQUENCE,
     SLIDING\_CARD\_SKIP0.
    - SMOOTH,
    - VALLEY.

- - MAX\_DECREASING\_SLOPE,
  - MAX\_INCREASING\_SLOPE,
  - MIN\_DECREASING\_SLOPE.
  - MIN\_DIST\_BETWEEN\_INFLEXION.
  - MIN\_INCREASING\_SLOPE,
  - MIN\_SIZE\_FULL\_ZERO\_STRETCH,
  - MIN\_SURF\_PEAK.
  - MIN\_WIDTH\_PEAK,
  - MIN\_WIDTH\_PLATEAU,
  - MIN\_WIDTH\_VALLEY,
## Exercise: GAC for a conjunction of constraints

- Provide an automaton without accumulator for the between(A,X,B) constraint, where A,B are lists of integer values, and X is a list of domain variables; the between constraint enforces that A is lexicographically less than or equal to X and that X is lexicographically less than or equal to B (all lists have the same length)
- Provide an automaton without accumulator for the exactly\_one(X,V) constraint, where X is list of domain variables and V is a list of distinct integers; the exactly\_one constraint holds if exactly one variable from the list list is assigned a value in V.
- 3. Provide an automaton without accumulator for the conjunction of between(*A*,*X*,*B*) and exactly\_one(*X*,*V*). Does it provides GAC ?

Hint: think about the signature constraints

## Exercise: between(A,X,B)

Alphabet: all 9 combinations of these two groups of conditions (compare  $x_i$  with the corresponding bounds of A and B)

- $l : a_i < x_i$   $L : x_i < b_i$
- $e: a_i = x_i$   $E: x_i = b_i$
- $g: a_i > x_i$   $G: x_i > b_i$



## Exercise: exactly\_one(X,V)

Alphabet:

- I :  $x_i \in V$
- $\bullet \ O: \, x_i \notin V$

## Exercise: exactly\_one(X,V)

Alphabet:

- I :  $x_i \in V$
- $\bullet ~ O: ~ x_i \notin V$

State semantics:

- *o* : did not see any value in *V*
- *i* : exactly one variable of X is assigned a value in V



## First question: what is the input alphabet?

Transition constraint for the conjunction **combines** the following set of conditions:  $\{a_i < x_i, a_i = x_i, a_i > x_i\}, \{b_i > x_i, b_i = x_i, b_i < x_i\}, \{x_i \in values, x_i \notin values\}.$ 



Remark: the signature /transition constraint is still a unary constraint





#### no occurrence of V in the prefix

#### exactly one occurrence of a V in the prefix



#### **EXAMPLE OF PRUNING**

(u=1,v=0,w=0): unique solution such that w=0

 $u \in \{0,1\}, v \in \{0,3\}, w \in \{0,1,2,3\}$ 

between(<0,3,1>, <u,v,w>, <1,0,2>) and exactly\_one(<u,v,w>, {0})

Finding out that **w≠0** requires to **reason globally on both constraints**:

After two transitions, the automaton will be either in state **ai** or in state **bi**. In either state, a 0 must already have been seen, and so there is no support for w=0.

## Exercise: Reification of a constraint specified by an automaton without accumulator

- Provide an automaton for the global\_contiguity constraint: all variables are assigned value 0 or 1, and no multiple occurrences of 1 separated by at least one 0
- 2. Provide an automaton for the negation of the global\_contiguity constraint.
- 3. From 1. and 2. construct an automaton for the reification of the global\_contiguity constraint (it contains an additional 0/1 variables that is set to 1 if and only if the global\_contiguity constraint holds)

## Exercise: automaton for global\_contiguity



## Exercise: automaton for the negation of global\_contiguity



## Exercise: reification of global\_contiguity



Reversible automata constraints and **glue matrix** (*in the context of automata with accumulators*)

- Reversibility
- Glue matrix
- Applications of glue matrix

## **Reversible constraint**

Definition

A totally functional constraint  $C(R, S_1, S_2, ..., S_n)$  is a constraint where R is uniquely determined by  $S_1, S_2, ..., S_n$ .

The reverse of a totally functional constraint C is a constraint C' such that  $C(R, S_1, S_2, ..., S_n) \Leftrightarrow C'(R, S_n, ..., S_2, S_1)$ 

- ► AMONG(2,  $\langle 1, 0, 0 \rangle$ ,  $\{0\}$ )  $\Leftrightarrow$  AMONG(2,  $\langle 0, 0, 1 \rangle$ ,  $\{0\}$ )
- ▶ PEAK(1, (0, 6, 4, 3))  $\Leftrightarrow$  PEAK(1, (3, 4, 6, 0))
- ▶ LENGTH\_FIRST\_STRETCH( $(2, \langle 3, 3, 5, 6 \rangle) \Leftrightarrow$ LENGTH\_LAST\_STRETCH( $(2, \langle 6, 5, 3, 3 \rangle)$ )

## Reversible constraint (example)



## reverse?

 $nocc_{001}(N, [x_1, x_2, ..., x_n])$ 

## Reversible constraint (example)



## reverse?

 $nocc_001(N, [x_1, x_2, ..., x_n])$ 

$$nocc_{100}(N, [x_1, x_2, ..., x_n])$$

## Reversible constraint (example)



 $nocc_001(N, [x_1, x_2, ..., x_n])$   $nocc_100(N, [x_1, x_2, ..., x_n])$ 

Can be computed mechanically if only one accumulator and use only incrementation (*but may be non-deterministic and contains*  $\varepsilon$ )

## Reversible constraint (other example)



Not always same number of states

## Reversible constraints in the context of global constraints: constraints on sequences

In practice :

- Many cases where a constraint is its own reverse
- When a constraint is not its own reverse most of the time the corresponding automata are symmetric (*i.e., permute some letters of the alphabet*)

## Reversible constraints: nb\_peak

$$\begin{array}{c}(2,\langle 1,1,4,8,6,2,7,1\rangle)\\(0,\langle 1,1,4,4,4,6,7,7\rangle)\\(4,\langle 1,5,4,9,4,6,2,7,6\rangle)\end{array}$$



#### STATE SEMANTICS

s : stationary/decreasing mode  $(\{>|=\}^*)$ u : increasing mode  $(<\{<|=\}^*)$ 



Example where a constraint is its **own reverse** 

## Reverse of max\_decreasing\_slope





max\_decreasing\_slope automaton

## Reverse of max\_decreasing\_slope is max\_increasing\_slope



max\_decreasing\_slope automaton max\_increasing\_slope automaton

- Reversibility
- Glue matrix
- Applications of glue matrix

Consider counting peaks in a sequence:



2+1=3 peaks

Consider counting peaks in a sequence:



 $0 + 2 \neq 3$  peaks

Consider counting peaks in a sequence:



**REMARK**: for a signature constraint of arity k, the prefix and suffix **overlap by k-1** positions

**Count** the number of occurrence of a pattern in a word using an **automaton with accumulators**.

Characterize the prefix-suffix relationship and use it in different contexts, e.g. :

- constraint programming
- local search
- linear programming (*most likely*)

## Notions of peak and valley

#### Definition

For an integer sequence  $S_1, ..., S_m$ , we say  $S_k$  (1 < k < m) is a **peak** iff  $\exists i \in [2, k] : \mathbf{S_{i-1}} < \mathbf{S_i} \land S_i = S_{i+1} = \cdots = S_k \land \mathbf{S_k} > \mathbf{S_{k+1}}$ . For an integer sequence  $S_1, ..., S_m$ , we say  $S_k$  (1 < k < m) is a **valley** iff  $\exists i \in [2, k] : \mathbf{S_{i-1}} > \mathbf{S_i} \land S_i = S_{i+1} = \cdots = S_k \land \mathbf{S_k} < \mathbf{S_{k+1}}$ .



### The PEAK and VALLEY constraints

#### Definition

 $PEAK(P, S) \equiv sequence S contains P peaks.$ 

VALLEY(V, S)  $\equiv$  sequence S contains V valleys.



## Invariant on the numbers of peaks and valleys

In order to improve propagation on a conjunction of constraints on the same sequence, we can add implied constraints linking accumulators.

For example:

$$\begin{cases} PEAK(P,S) \\ VALLEY(V,S) \end{cases} \Rightarrow |P-V| \leq 1 \end{cases}$$

alternation of valleys and peaks



alternation of peaks and valleys

### Need of an invariant on all prefixes and suffixes

The invariant  $|\mathbf{P} - \mathbf{V}| \leq 1$  on the full sequence is not enough.

Backtracks can drop from  $2^{n-3} - 1$  to  $\frac{(n-3)\cdot(n-2)}{2}$  if there is an invariant on all prefixes and suffixes.

### Linking the prefixes and suffixes of a sequence

To enhance propagation we should post the invariant on all prefixes and suffixes of the sequence:



### A gentle start: glue matrix for the AMONG constraint

Question: Consider an integer sequence split into a prefix and a suffix. Suppose that value v occurs  $\overrightarrow{C}$  times in the prefix and  $\overleftarrow{C}$  times in the suffix, how many times does v occur in the whole sequence?

Answer: Just sum up the two quantities.



$$\begin{array}{c} q \\ q \\ \overrightarrow{C} + \overleftarrow{C} \end{array}$$

Glue matrix where  $\overrightarrow{C}$  and  $\overleftarrow{C}$  represent the accumulator value C at the end of a prefix and at the end of the corresponding reverse suffix of the sequence.

### **Glue matrix for the PEAK constraint**

#### state semantics

- t stationary/decreasing
- u stationary/increasing

 $\begin{cases} PEAK(1, \langle 2, 2, 6, 4, 1 \rangle) \\ PEAK(0, \langle 2, 2, 6 \rangle) \\ PEAK(0, \langle 1, 4, 6 \rangle) \end{cases}$ 

#### not just a sum!




### Glue matrix for the MIN\_WIDTH\_VALLEY constraint

$$\left\{ \begin{array}{c} W \leftarrow n, \\ C \leftarrow 0, \\ F \leftarrow 0 \end{array} \right\} \xrightarrow{\mathbf{S}_{i} > \mathbf{S}_{i+1}, \\ \{F \leftarrow i\} \\ \mathbf{S}_{i} > \mathbf{S}_{i+1}, \\ \{F \leftarrow i\} \\ \mathbf{S}_{i} < \mathbf{S}_{i+1}, \\ \{C \leftarrow i - F\} \\ \{W \leftarrow \min(W, C), \\ F \leftarrow i \end{array} \right\}$$



### Main results on glue matrices

(with a signature constraint of arity one)

#### Existence of the glue matrix

Given an automaton with accumulators and its reverse, the glue matrix is **well defined**.

Computation of the glue matrix With a single accumulator and only incrementation, we can compute the glue matrix mechanically.

The global constraint catalog contains a number of glue matrices

- Reversibility
- Glue matrix
- Applications of glue matrix

### Stronger invariants (Constraint Programming)

Consider various patterns in a sequence. Key point: Their numbers do not vary independently.

Already seen in the motivation section (recall example):

$$\begin{cases} \text{PEAK}(P, \langle S_{1}, \dots, S_{n} \rangle) \\ \forall i : \text{PEAK}(\overrightarrow{P}_{i}, \langle S_{1}, \dots, S_{i} \rangle) \\ \forall i : \text{PEAK}(\overleftarrow{P}_{i}, \langle S_{n}, \dots, S_{i+1} \rangle) \end{cases} \begin{cases} \text{VALLEY}(V, \langle S_{1}, \dots, S_{n} \rangle) \\ \forall i : \text{VALLEY}(\overrightarrow{V}_{i}, \langle S_{1}, \dots, S_{i} \rangle) \\ \forall i : \text{VALLEY}(\overrightarrow{V}_{i}, \langle S_{n}, \dots, S_{i+1} \rangle) \end{cases} \\ \begin{cases} \forall i : |\overrightarrow{P}_{i} - \overrightarrow{V}_{i}| \leq 1 \\ \forall i : |\overrightarrow{P}_{i} - \overleftarrow{V}_{i}| \leq 1 \end{cases} \\ \forall i : |\overrightarrow{P}_{i} - \overleftarrow{V}_{i}| \leq 1 \end{cases} \\ \forall i : glue_{\text{PEAK}}(P, \overrightarrow{P}_{i}, \overleftarrow{P}_{i}) \end{cases} \end{cases} \end{cases}$$

### Stronger invariants (Constraint Programming)

Need to encode the glue matrix as a constraint:

- We need access to the sequence of state variables Q<sub>i</sub> and the sequence of accumulator variables C<sub>i</sub>. For the PEAK constraint, we have P<sub>i</sub> = C<sub>i</sub>.
- The encoding can be done with a logical expression involving state and accumulator variables.

For  $glue_{PEAK}(P, \overrightarrow{P_i}, \overleftarrow{P_i})$ , we get:





## Enhancing bound on the full sequence by propagating the information from the prefix (constraint programming)

- Evaluating a bound on the full sequence is not enough as soon as we fix variables during the labelling (want to take into account the effect of the fixed variables on the bound on the full sequence)
- Solution
  - Set bound on the full sequence
  - Set bound of each prefix and each suffix (*linear*)
  - Use the glue matrix to link each prefix with its complement (remark: the automaton with accumulator constraint will also update the bound)

# Enhancing bound on the full sequence: example

- Consider the peak constraint
- Maximum number of peaks on
- a sequence of length n: (n-1) div 2 (e.g., 010, 01010)
- Set bound on all prefix and suffix + glue constraint



Λ

 $\wedge$ 

 $\wedge$ 

 $\wedge$ 



# Enhancing bound on the full sequence: example

- Maximum number of peaks on sequence 00001000 x<sub>1</sub>,x<sub>2</sub>,x<sub>3</sub>,x<sub>4</sub> :
  - Maximum number of peaks on 0000100: 1 (remark: go down)
  - Maximum number of peaks on 0  $x_1, x_2, x_3, x_4$ : (5-1)div 2=2
  - Maximum number of peak on the full sequence (using the glue constraint to channel the information from the prefix to the full sequence is 1+2).



#### Glue constraint (Qi,Qj,Ci,Cj,N):

### **Constant-time move probing (Local Search)**

PEAK(P = 2, (1, 1, 4, 8, 6, 2, 7, 1))< < > < < < 3 🗄 4 0 1 2 3 2 1 0 i i  $u t \overleftarrow{Q_i}$  $\vec{Q}_i$ <u>u</u> 💠 <u>u</u> t t u t u  $\vec{c}_i$ t, 0 🗄 1 0 0 0 0 1 1 0  $\overrightarrow{\mathbf{P}_i}$ 0 \$ 0 🗄 1 0 0 1 0 0 1  $\operatorname{PEAK}\left(\begin{array}{c}\overrightarrow{P_{3}}=0,\\\langle 1,1,4,8\rangle\end{array}\right)\operatorname{PEAK}\left(\begin{array}{c}\overleftarrow{P_{4}}=1,\\\langle 8,6,2,7,1\rangle\end{array}\right)$   $\{C \leftarrow 0\} \qquad S_i < S_{i+1} \\ \downarrow \qquad P = C \qquad u \\ S_i \ge S_{i+1} \qquad S_i > S_{i+1} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \qquad S_i \le S_{i+1} \\ \{C \leftarrow C + 1\} \\ \{C \leftarrow C + 1$ 



glue matrix entry associated with the state pair (u, u):  $P = \overrightarrow{C_3} + 1 + \overleftarrow{C_4} = 0 + 1 + 1 = 2$ 

### Constant-time move probing (Local Search)

PEAK(P = ?, (1, 1, 4, 1, 6, 2, 7, 1))< < > << < 3 🗄 4 2 1 0 *i* 3 2 1 0 Í u t u t  $\overleftarrow{Q_i}$ र्वे टे ? ? t t u  $\overleftarrow{c_i}$ ? 0 0 0 0 0 ? 1 1  $\overrightarrow{\mathbf{P}_i}$  $0 \quad 0 \quad \overleftarrow{\mathbf{P}_i}$ ? 🗄 ? 0 0 0 1 1  $\operatorname{PEAK}\left(\begin{array}{c}\overrightarrow{P_{3}}=?,\\\langle 1,1,4,1\rangle\end{array}\right)\operatorname{PEAK}\left(\begin{array}{c}\overleftarrow{P_{4}}=?,\\\langle 1,6,2,7,1\rangle\end{array}\right)$ 





### **Constant-time move probing (Local Search)**

$$PEAK(P = 3, \langle 1, 1, 4, 1, 6, 2, 7, 1 \rangle)$$

$$1 \quad 1 \quad 4 \quad 1 \quad 1 \quad 6 \quad 2 \quad 7 \quad 1$$

$$= \langle \langle \rangle \langle \rangle \langle \rangle \langle \rangle \rangle \langle \rangle \langle \rangle \rangle$$

$$i \quad 0 \quad 1 \quad 2 \quad 3 \quad \vdots \quad 4 \quad 3 \quad 2 \quad 1 \quad 0 \quad i$$

$$\overrightarrow{Q_i} \quad t \quad t \quad u \quad t \quad \vdots \quad t \quad u \quad t \quad u \quad t \quad (\overrightarrow{Q_i} \quad \overrightarrow{Q_i} \quad \overrightarrow{Q_i}$$

 $\{C \leftarrow 0\} \qquad S_i < S_{i+1} \qquad u$   $t \qquad P = C \qquad u$   $S_i \ge S_{i+1} \qquad S_i > S_{i+1} \qquad S_i \le S_{i+1}$   $\{C \leftarrow C + 1\} \qquad S_i \le S_{i+1}$ 



glue matrix entry associated with the state pair (t, t):  $P = \overrightarrow{C_3} + \overleftarrow{C_4} = 1 + 2 = 3$ 

### Conclusion

Given an automaton with accumulators:

- We came up with an abstraction, the glue matrix, for defining the relation between the accumulators on the full sequence, and on its prefixes and suffixes.
- This characterisation is independent of the solving technology (it is just about automata with accumulators).
- We show how to exploit the glue matrix in the context of constraint programming and local search.

**41** glue matrices available in the Global Constraint Catalogue (see the glue matrix keyword)